



June 22, 2022

To whom it may concern:

Name of Company:	Suruga Bank, Ltd.
Name of Representative:	Director and President: Kosuke Saga (Code No. 8358 Prime Section of Tokyo Stock Exchange)
Contact Person:	Senior Executive Officer General Manager of General Management Planning
Headquarters:	Tatsuya Akita (TEL 03-3279-5535)

## **Notice of a Shareholder Petition for a Provisional Disposition Prohibiting Infringement of Shareholder Rights in Connection with the Company's 211th Annual General Meeting of Shareholders**

Suruga Bank, Ltd. ("the Company") hereby announces that 303 shareholders ("the Plaintiffs") have filed a petition for a provisional disposition ("the Petition") against infringement of shareholder rights with the Shizuoka District Court, Numazu Branch on June 17, 2022 against the Company and its Director and President as defendants in connection with the Company's 211th Annual General Meeting of Shareholders ("the Meeting"), to be held on June 29, 2022.

### Note

a. Date of the Petition's Filing

June 17, 2022

b. Court Receiving the Petition

Shizuoka District Court, Numazu Branch

c. Summary of Plaintiffs Filing the Petition

Hiroyuki Kawai, attorney at law, and 302 other shareholders of the Company (who have held Company shares since before the date of record for the Meeting as determined by the Company)

d. Content of the Petition

The Company, as part of its measures to prevent the spread of COVID-19 at the Meeting,

has elected to adopt a system limiting the number of seats available so as to establish social distancing at its venue, asking that shareholders wishing to attend the Meeting register on the Internet beforehand, and, in the event that the number of applicants pre-registered exceeds the number of seats provided, selecting attendees by lottery ("the Pre-registration System"). The Petition was filed to request a provisional disposition against this system, claiming that it infringes on shareholder participation rights. It primarily requests a provisional disposition against the Company's holding the Meeting and, as a preliminary measure, requests a provisional disposition against the Company and/or its Director and President impeding the Plaintiffs attending and exercising their shareholder rights.

e. Future Policy

Given that COVID-19 remains at large, the Company believes that it is necessary to provide ample space for seating and establish social distancing at the Meeting, and that the Pre-registration System is a viable solution, as described in the "Q&A on Operation of General Meetings of Shareholders" ([https://www.meti.go.jp/covid-19/pdf/kabunushi\\_sokai\\_qa.pdf](https://www.meti.go.jp/covid-19/pdf/kabunushi_sokai_qa.pdf) - Japanese only) published on April 2, 2020 by the Ministry of Economy, Trade and Industry and the Ministry of Justice, as well as that it does not constitute any violation of laws or regulations given that an external vendor will be contracted to fairly conduct the lottery, Therefore, we believe that there is absolutely no basis for the Petition, and our intent is to respond through earnest presentation of our position and its underlying evidence, and to seek dismissal of the Petition.

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